

Docket No. 287299US0PCT



MAIL STOP PCT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Gerhard HOERPEL, et al.

SERIAL NO: 10/575,734

GAU:

FILED: April 13, 2006

EXAMINER:

FOR: CAPACITOR COMPRISING A CERAMIC SEPARATING LAYER

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
287299US0PCTSERIAL NO.  
10/575,734

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT  
Gerhard HOERPEL, et al.FILING DATE  
April 13, 2006

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6 309 545	10/30/01	PENTH et al.			
	AB	6 299 778	10/09/01	PENTH et al.			
	AC	6 340 379	01/22/02	PENTH et al.			
	AD	6 620 320	09/16/03	HYING et al.			
	AE	6 383 386	05/07/02	HYING et al.			
	AF	2002/0039648	04/04/02	HORPEL et al.			
	AG	2004/0028913	02/12/04	HENNIGE et al.			
	AH	2004/0038105	02/26/04	HENNIGE et al.			
	AI	2005/0031942	02/10/05	HENNIGE et al.			
	AJ	2005/0070193	03/31/05	HENNIGE et al.			
	AK	2005/0087491	04/28/05	HENNIGE et al.			
	AL	2005/0084761	04/21/05	HENNIGE et al.			
	AM	2005/0221165	10/06/05	HENNIGE et al.			
	AN	2005/0255769	11/17/05	HENNIGE et al.			
	AO	2006/0024569	02/02/06	HENNIGE et al.			
	AP	2006/0078791	04/13/06	HENNIGE et al.			
	AQ	2006/0046138	03/02/06	HENNIGE et al.			
	AR	6 585 152	07/01/03	FARAHMANDI et al.			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AS	102 08 277	09/04/03	DE		NO
	AT	99/57769	11/11/99	WO (with English abstract)		NO
	AU	99/15262	04/01/99	WO (with English abstract & equivalent of EP98952595.1)		NO
	AV	102 08 277	09/04/03	DE (equivalent of US2005/0084761)		NO
	AW	101 42 622	03/20/03	DE (equivalent of US2005/0031942)		NO
	AX	198 38 800	03/16/00	DE		NO
	AY	1 202 299	05/02/02	EP (equivalent of US6 525 924)		No
	AZ	01/88934	11/22/01	WO (with English abstract & equivalent of US6 631 074)		NO
	AAA	01/13388	02/22/01	WO (with English abstract & equivalent of US6 449 139)		NO

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AAB	WASHBURN, Edward W. , " Note on a Method of Determining the Distribution of Pore sizes in a Porous Material", Physics, Vol. 7, Pages 115-116, 1921.	
	AAC	HASKELL, D. K. et al., "Electrostatic Capacitive Energy Storage", Encyclopedia of Applied Physics, Vol. 6, Pages 155-176, 1993.	
	AAD	WINNACKER-KUECHLER "Chemische Technologie", Organische Technologie III, Vol. 7, Pages 92-97, 1986.	
	AAE	MASTRAGOSTINO, Marina et al., " Electrochemical Supercapacitors", Academic/Plenum Publishers, Pages 481-505, 2002	
	AAF	KOETZ, R. et al., "Principles and applications of electrochemical capacitors", Electrochimical Acta, Vol. 45, Pages 2483-2498, 2000.	
	AAG	MEFFERT, A. et al., "Fast method for determining the surface area of fine solids", Pages 187-193, 1967.(with English Translation)	<input type="checkbox"/> Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

U.S. PCT Application Serial No: 10/575,734

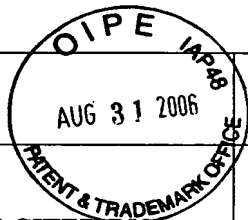
Filed: April 13, 2006

Gerhard HOERPEL, et al.

Docket No. 287299 US

#### STATEMENT OF RELEVANCY

- 1) References AS - AT have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References            have been cited in the corresponding            Search Report. A copy of these references is being submitted herewith.
- 3) References AR, AU - AY & AAB - AAE are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References AZ - AAA & AAF - AAG are additional prior art known to Applicant. A copy of these references is being submitted herewith.



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Serial No.: 10/575,734

Inventor: Gerhard HOERPEL, et al.

LIST OF RELATED CASES CITED BY  
APPLICANT UNDER 37 CFR 1.56

Filing Date: April 13, 2006

Group:

### LIST OF RELATED CASES

<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
	9350-0106-0X PCT	6,309,545	10/30/01		PENTH et al.
	9350-0107-0X PCT	6,299,778	10/09/01		PENTH et al.
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	257158US0X PCT	10/504,144	08/19/04	US2005/0084761 A1	HENNIGE et al.
	262409US0X PCT	10/519,097	12/27/04		HENNIGE et al.
	264624US0X PCT	10/524,145	02/11/05	US2005/0221165 A1	HENNIGE et al.
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\*Present Application; listed for information  
NFO/amr

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271341US0 PCT	10/536,270	05/25/05	US2006/0078791 A1	HENNIGE et al.
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287417US0PCT	10/575,268	04/11/06		HENNIGE, et al.
287258US0PCT	10/575,274	04/11/06		HENNIGE, et al.

Examiner

Date Considered